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| TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c)) | Docket No. 055120-0002 |
|---|---|

In Re Application Of: **W. Sweet et al.**

| Serial No. | Filing Date | Examiner | Group Art Unit |
|---|---|---|---|
| 09/930,029 | August 14, 2001 | Unassigned | 2131 |

Title:

**Method and Apparatus for a Web-Based Application Service Model for Security Management**

Address to:
**Assistant Commissioner for Patents**
**Washington, D.C. 20231**

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

   ☐ the statement specified in 37 CFR 1.97(e);

   **OR**

   ☐ the fee set forth in 37 CFR 1.17(p).

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**Method and Apparatus for a Web-Based Application Service Model for Security Management**

## Payment of Fee

**(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))**

☐ A check in the amount of is attached.

☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. **19-2385** as described below. A duplicate copy of this sheet is enclosed.

- ☐ Charge the amount of
- ☐ Credit any overpayment.
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**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

*Signature of Person Mailing Correspondence*

*Typed or Printed Name of Person Mailing Certificate*

***This certificate may only be used if paying by deposit account.**

Constance J. Ramos
*Signature*

Dated: **December 4, 2001**

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cc:

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## INFORMATION DISCLOSURE CITATION

*(Use several sheets if necessary)*

| ATTY DOCKET NO. | SERIAL NO. |
|---|---|
| 055120-0002 | 09/930,029 |
| W. Sweet et al. | |
| FILING | GROUP |
| August 14, 2001 | 2131 |

### U.S. PATENT DOCUMENTS

| *EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
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| EXAMINER | DATE CONSIDERED |
|---|---|
| | |

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

## INFORMATION DISCLOSURE CITATION

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| Docket Number (Optional) | Application Number |
|---|---|
| 055120-0002 | 09/930,029 |
| **Applicant(s)** | |
| W. Sweet et al. | |
| **Filing Date** | **Group Art Unit** |
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| | | "BioID Software: The Multimodal Biometric Solution," http://www.bioid.com/english_sites/home_folder/us_specific/home.html, 1 p., downloaded July 17, 2001 and "BioID. - Biometric Recognition," www.bioid.com - 7/17/01, 4 pp. |
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